

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TITLE OF THE INVENTION

Apparatus and Method of Preparation of Stable, Long  
Term Thrombin from Plasma and Thrombin Formed  
Thereby

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### FIELD OF THE INVENTION

The following invention relates generally to the preparation of thrombin enzyme from a given unit of plasma, which is sufficiently stable that it provides rapid clotting of a fibrinogen-rich solution of clotting and adhesive proteins for more than six hours.

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## BACKGROUND OF THE INVENTION

Formulation of a fibrin sealant mimics the last step of the coagulation cascade wherein the enzyme thrombin cleaves fibrinogen which is then cross-linked into a semi-rigid or flexible fibrin clot. This fibrin clot adheres to wound sites, forming a barrier to fluid leaks and generates adhesion between tissues, while providing hemostatic and healing properties to the treated site.

Presently marketed, applicant's CryoSeal™ system is a device which harvests cryoprecipitated, concentrated clotting and adhesive proteins, including fibrinogen and Factor XIII from a donor's plasma in approximately one hour. The one hour cryoprecipitation harvesting, provided by the CryoSeal™ system, compared to the 1 to 2 day cryoprecipitation process routinely practiced in Blood Banks, means that CryoSeal™ harvesting of clotting and adhesive proteins can occur right in the perioperative theater with the patient close by, thereby avoiding the need to initiate the process days in advance.

These CryoSeal™ harvested clotting and adhesive proteins, when combined with bovine or human thrombin, forms a biological glue useful for surgical hemostasis and tissue adhesion. Commercially available thrombin, however, is generally sourced from bovine or human plasma pools, so the patient would still be at risk of negative immune reactions or contamination by infectious blood born viruses and, possibly Crutzfeld-Jacobs Disease (CJD) or new variants of CJD (NVCJD). An advantage of the CryoSeal™ cryoprecipitation invention is that the harvested clotting and adhesive proteins sourced from the patient's own blood eliminates the risk of contamination by infectious blood-borne disease when these clotting and adhesive proteins are topically applied to the patient's surgical wound sites.



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Surgeons predominately require a fast acting clotting time (< 5 seconds) for hemostasis and tissue sealing or adhesion. Slow clotting biological glues (> 5 seconds) will often be transported away from the wound site by oozing and bleeding before they can perform their function. A surgeon utilizing the Hirsh fibrin glue would be required to arrange his surgery so that the hemostasis and tissue sealing intended for treatment with the Hirsh fibrin glue would occur within the 4 minute window where the clotting time was less than 5 seconds, making the Hirsh invention totally impractical for most surgeries which predominantly require rapid hemostasis and tissue adhesion throughout the surgery, the time span of which could extend to six hours.

The following prior art reflects the state of the art of which applicant is aware and is included herewith to discharge applicant's acknowledged duty to disclose relevant prior art. It is stipulated, however, that none of these references teach singly nor render obvious when considered in any conceivable combination the nexus of the instant invention as disclosed in greater detail hereinafter and as particularly claimed.

#### U.S. PATENT DOCUMENTS

| <u>PATENT NO.</u> | <u>ISSUE DATE</u> | <u>INVENTOR</u>    |
|-------------------|-------------------|--------------------|
| 5,648,265         | July 15, 1997     | Epstein            |
| 5,510,102         | April 4, 1996     | Cochrum            |
| 5,585,007         | December 17, 1996 | Antanavich, et al. |
| 5,605,887         | February 25, 1997 | Pines, et al.      |
| 5,614,204         | March 25, 1997    | Cochrum            |
| 5,631,019         | May 20, 1997      | Marx               |
| 5,643,192         | July 1, 1997      | Hirsh, et al.      |

#### FOREIGN PATENT DOCUMENTS

| <u>PATENT NO.</u> | <u>ISSUE DATE</u> | <u>INVENTOR</u> |
|-------------------|-------------------|-----------------|
|-------------------|-------------------|-----------------|

WO 94/00566  
EU 0 592 242 A1

January 6, 1994  
April 13, 1994

Cederholm-Williams, et al.  
E.R. Squibb & Sons

The other prior art listed above, not all of which are specifically discussed catalog the prior art of which the applicant is aware. These undiscussed references diverge even more starkly from the instant invention specifically distinguished below.

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### SUMMARY OF THE INVENTION

The instant invention addresses the long felt need for a simple, practical, fast method of preparing stable human thrombin from a donor's blood, which will provide fast clots (< 5 seconds) throughout a lengthy surgery (e.g. six hours) to combine with the clotting and adhesive proteins harvested and concentrated from the same unit of blood to form a biological sealant with no patient exposure to microbial or possible CJD or NVCJD contaminations. Previous works in the field (Hirsch, et al.) exemplified a thrombin with minimal stability in that the thrombin achieved rapid clotting of fibrinogen (i.e., less than 5 seconds) during only a very narrow four to five minute time period, totally impractical for the broad range of surgeries.

The present invention provides a stable thrombin enzyme which can cause precise, repeatable fast or slow polymerization of clotting and adhesive proteins over a duration of up to six hours - throughout even a long surgery. Further, the use of clotting and adhesive proteins and thrombin all sourced from a single donor will eliminate various disease risks posed from the use of commercial fibrin glues where the fibrinogen is sourced from plasma pooled from thousands of donors and the thrombin is either sourced from a similar pool of human plasma or of bovine origin. The speed and simplicity of the production of stable thrombin by use of this invention allows it to be prepared just prior to or during operative procedures and it will provide fast clotting throughout even the longest surgeries. The thrombin produced by this invention can be diluted in saline to provide precise, slower clotting times thereby allowing any preferred time from less than four seconds to longer than 2 minutes.

The procedure of the invention is comprised of three steps, the first two of which should occur at the same time:

1. Preparing a fraction enriched in prothrombin by use of Ethanol to substantially enhance the concentration of prothrombin and at the same time remove or denature naturally occurring ingredients within plasma, such as Thrombinodulin and Antithrombin III which can bind to, block, interfere with or inhibit prothrombin or its subsequent activation to long-term functional thrombin.

2. Adding calcium ions to the enriched prothrombin solution and briefly agitating the solution to convert the pro-thrombin to stable, long term thrombin.

3. Expressing the thrombin solution through a filter to remove particulate matter which would prevent spraying the thrombin through a small orifice or expressing the thrombin through a thin tube onto a wound site.

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### OBJECTS OF THE INVENTION

Accordingly, it is a primary object of the present invention to provide a new and novel apparatus and method to derive fast acting, stable autologous thrombin from the donor's plasma.

It is a further object of the present invention to provide thrombin as characterized above which has a shelf life longer than most associated surgical procedures.

It is a further object of the present invention to provide thrombin as characterized above in which the clotting time can be predictably lengthened at will through dilution with saline.

It is a further object of the present invention to provide thrombin as characterized above which has simple preparatory procedures.

It is a further object of the present invention to provide a method for producing thrombin as characterized above which has a process time of less than thirty minutes.

It is a further object of the present invention to provide thrombin which can be sprayed through small orifices or expressed through thin tubes.

Viewed from a first vantage point it is the object of the present invention to provide a novel and practical method for producing stable human thrombin from a prothrombin fraction which has been substantially enriched by ethanol fractionation to increase the prothrombin concentration and at the same time remove contaminating proteins. The addition of calcium chloride to the enriched prothrombin converts prothrombin to thrombin. From the same sole donor plasma, clotting and adhesive proteins are simultaneously obtained by other means to comprise the second component necessary for the autologous biological sealant.

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The present invention provides a method and apparatus that produces thrombin which is sufficiently stable that it can provide less-than-5-second clots for up to six hours, substantially more stable than demonstrated in all prior art. Further, the clot time can be modified at will through dilution with saline.

The present invention further provides an efficient method of preparation. Improved cryoprecipitation of clotting and adhesive proteins through the CryoSeal™ invention requires less than one hour. In this same time frame, the autologous human thrombin component can be manufactured with minimal materials and methods from the same source plasma. Both of the biological components of the biological glue are easily combined in a surgical setting, administered to the very same donor patient, and the resultant clotting provides hemostasis or tissue adhesion at the wound site.

The present invention additionally provides a method for sterile production of both components of the biological glue. The improved sterile manufacturing described herein provides a final product that is essentially free of contamination by non autologous microbes.

These and other objects will be made manifest when considering the following detailed specification when taken in conjunction with the appended drawing figures.

### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a perspective view of an apparatus for sequestering prothrombin from plasma, processing the prothrombin into thrombin and taking the plasma not relegated towards the prothrombin and extracting clotting and adhesive proteins therefrom.

Figure 2 is a sectional view of the thrombin processing unit where plasma is being admitted into a mixing syringe.

Figure 3 is a view similar to figure 2 in which the processing reagents are directed to the mixing syringe for processing the plasma into prothrombin and then thrombin.

Figure 4 is a view similar to figures 2 and 3 where the thrombin is directed to a dispensing syringe after have first been filtered for particulate matter which could interfere with the thrombin being sprayed through a small orifice or expressed through a thin tube.

Figure 5 is a chart illustrating the effect of various ETOH concentrations in the final volume on the life span of fast clotting thrombin when the <sup>CaCl</sup>CaCl<sub>2</sub> is held constant at 0.023  $\mu$ m.

Figure 6 is a chart illustrating the effect of various <sup>CaCl</sup>CaCl<sub>2</sub> concentrations in the final volume on the life span of fast clotting thrombin when the ETOH concentration is held constant at 13.6%.

Figure 7 is a chart illustrating that the processing of the thrombin should occur in a glass syringe for a fast clotting preparation.

Figure 8 is a chart describing the contaminating proteins removed from the enriched thrombin fraction after mixture with ETOH, (13.6% in final volume) and <sup>CaCl</sup>CaCl<sub>2</sub> (.023  $\mu$ m in final volume) and filtered for particulate matter.



### DESCRIPTION OF PREFERRED EMBODIMENTS

Referring to the drawings, wherein like elements denote like parts throughout, reference numeral 10 is directed to the processing set according to the present invention and shown in figure 1.

In its essence, the processing set 10 includes a fluid receiving system 20 which communicates with both a thrombin processing unit 40 and a clotting and adhesive proteins processing unit 60.

More particularly, the fluid receiving system 20 includes an inlet 2 communicating with tubing 4 through which plasma will enter the processing units 40, 60. The conduit 4 has a stop valve 6 which can occlude the tubing 4 preventing fluids through passage. The tubing 4 communicates through a T fitting 8 to divide plasma into two branches, a first branch 12 which leads to the thrombin processing unit 40 and a second branch 14 leading to the clotting and adhesive proteins processing unit 60.

Since it is preferred that the blood product admitted to the inlet 2 be plasma, the whole blood is first processed either by filtering, centrifugation, or another means of settling to remove the heavier red blood cells from the blood products, leaving plasma therebeyond for use in the figure 1 device. The plasma required for the thrombin processing unit is preferably 8 ml. so that the final volume of concentrated thrombin matches a typical yield of cryoprecipitated clotting and adhesive proteins from the clotting and adhesive proteins processing unit 60. Referring to figure 2, a sealed bag 16 overlies the thrombin processing unit 40 to provide sterility until the thrombin storage syringe is introduced into a sterile surgical field. Prior to that, the thrombin processing unit is operated as shown in figure 2 within the sealed bag which is flexible and sized to preferably permit the

movement of the syringes' plungers from the exterior of the bag. Fluid from the first branch 12 passes beyond a coupling 18 and into a manifold 22. The manifold 22 is equipped with a valve 24 that initially is directed to a mixing syringe 26 preferably formed from glass and capable of receiving a volume as great as 15 ml. The mixing syringe 26 includes a plunger 28, which when moved in the direction of the arrow A, draws the plasma from the passageway 12 and into the interior of the mixing syringe 26.

Referring to figure 3, the valve 24 is reoriented so that access can be gained between the mixing syringe 26 and the reagents found in ampoules 32, 34, each of which are operatively connected to the manifold 22 via a Y coupling 36 shown in figure 1. Access to the interior of either ampoule 32 or 34 can be had by squeezing the ampoule to rupture a frangible diaphragm. Alternatively, the intake 38 which receives the ampoule can be provided with a hollow spike which penetrates the diaphragm. In either event, the contents of both of the ampoules 32, 34 are received in the mixing syringe 26 by further retraction of the plunger 28 along the arrow A shown in figure 3. A first ampoule 32 is preferably provided with 2 ml. of ethanol providing an ETOH concentration in the final volume of 13.6% and the second ampoule 34 is preferably provided with 1 ml. calcium chloride providing a concentration in the final volume of .023 $\mu$ m. Alternatively, these reagents contained within the two ampoules 32, 34 can be premixed into a single ampoule and dispensed simultaneously. In one form of the invention, it is possible to introduce the ethanol first, then agitate the mixing syringe 26 and then follow with the calcium chloride, but the introduction of both simultaneously to the plasma are optimally combined, followed by brief agitation.

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Once the ethanol and calcium chloride have been introduced into the mixing syringe 26, the valve 24 is reoriented so that the mixing syringe 26 is isolated. The contents are briefly agitated and allowed to incubate for about 20 minutes. Prior to pushing the contents out of the mixing syringe 26, the valve 24 is reoriented as shown in figure 4 after which the plunger 28 is moved in the direction of the arrow B of figure 4. Because the valve 24 is now set to allow communication to the thrombin dispensing syringe 42, the contents within the mixing syringe 26 will be transferred from the mixing syringe 26 to the dispensing syringe 42. More specifically, the manifold 22 includes a recess within which a filter 44 is provided in the flow path between the mixing syringe 26 and the thrombin dispensing syringe 42. Particulate matter will be retained within the filter 44 prior to delivery of the thrombin to the dispensing syringe 42. Note that as fluid enters the dispensing syringe 42, the dispensing syringe plunger 46 moves in a direction opposite arrow B.

Referring back to figure 1, attention is now directed to the clotting and adhesive protein processing unit 60. All of the plasma not diverted to the thrombin processing unit 40 is admitted to an interior chamber 62 of the clotting and adhesive protein processing unit 60. The clotting and adhesive protein processing unit 60 is manipulated by heat exchange and rotation so that all clotting and adhesive proteins extracted from the plasma will sediment at a nose 64 of the bag 62 for subsequent extraction by means of a clotting and adhesive protein dispensing syringe 66 contained in a sterile pouch 68. Once the thrombin has been loaded into the dispensing syringe 42, and the clotting and adhesive proteins have been loaded into the clotting and adhesive dispensing syringe 66, the two syringes can be decoupled from the processing set 10 and ganged together for spraying or line and dot

application. Mixing the thrombin with the clotting and adhesive proteins forms the biological glue.

Both dispensing syringes should be stored at or below 4°C prior to usage.

Turning to figure 5, a graph is shown which illustrates how ethanol concentrations alter the life span of fast clotting thrombin where the calcium chloride content is held constant at point .023  $\mu$ m. Note that at approximately 13.6% ethanol, its life span is shown to have been optimized and extend at least 240 minutes while its clotting time is substantially constant at under 5 seconds. The range between 8% and 18%, however, has utility.

Figure 6 varies the calcium chloride concentration in the thrombin while the ethanol is held constant at 13.6%. As shown, the thrombin life span where the calcium chloride concentration is at .023  $\mu$ m of 250 mM calcium chloride appears optimized and extends to 360 minutes while maintaining a clot time under 5 seconds. The range between .011 $\mu$ m of 125mM and .045 $\mu$ m of 500mM, however, has utility.

Figure 7 reflects the differences in processing the thrombin where the thrombin mixing syringe 26 is formed from glass versus plastic. As can be shown, the speed of clotting is held to close to 5 seconds or less with a life span of 60 to 240 minutes in glass.

Figure 8 reflects the effect of using ethanol at 13.6% and calcium chloride at .023  $\mu$ m to reduce proteins which alter the clot time of the thrombin as compared to the original plasma. As can be seen in this graph, the major interfering proteins are so efficiently removed, that the clotting time of the thrombin is not only enhanced, but held substantially stable and constant.



Figure 9 shows in greater detail than that which is shown in figures 5 and 6 regarding the measured clot time as a function of life span for the optimized thrombin preparation, having been treated by 13.6% ethanol and .023  $\mu$ m calcium chloride. As shown, the life span extends to 360 minutes and the clot time varies from 3 to 4 seconds.

Figure 10 shows the effect of saline solution of the thrombin preparation optimized as in figure 9 with an ethanol concentration of 13.6% and a calcium chloride concentration of .023  $\mu$ m as a function of life span. When the thrombin has been diluted 1 to 1.5 with saline, the clot time has been extended from just above 20 seconds to just less than 30 seconds, and has a life span of up to 150 minutes.

Referring to figure 11, there shown is the benefit in allowing the thrombin contained in the mixing syringe 26 to reside therein after agitation for almost 20 minutes in order to assure the effectiveness of the filtration step in removing particulate matter for subsequent utilization. The time span for conversation and activation allows enough particulate matter to be removed by the filter to optimize the use of the thrombin later in a narrow orificed dispenser, such as a sprayer or expressing through a thin tube.

Figure 12 provides a prior art comparison of the activity of thrombin sourced from Bovine blood plasma as it relates to the speed of clotting, showing that autologous thrombin derived from this invention provides a clotting speed equivalent to 100 iu/ml of Bovine thrombin.

Moreover, having thus described the invention, it should be apparent that numerous structural modifications and adaptations may be resorted to without departing from the scope and fair meaning of the instant invention as set forth hereinabove and as described hereinbelow by the claims.